

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Underkofler et al.**

Serial No.: **10/714,173**

Filed: **November 14, 2003**

For: **Improved Roller Guide Tape Path**



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Group Art Unit: **Unknown**

Examiner: **Unknown**

Attorney Docket No.: **2003-051-TAP**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: U.S. Patent and Trademark Office, Mail Stop: Duplicates, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 11, 2005.

By: _____

Dell Whitton

TRANSMITTAL DOCUMENT

U.S. Patent and Trademark Office

Mail Stop: **Duplicates**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450


Sir:

ENCLOSED HEREWITH:

- Response to Notice Under 37 CFR 1.251 - Pending Application;
- Copy of Notice Under 37 CFR 1.251 - Pending Application;
- Copy of originally filed Transmittal, 9 pages of Specification including an Abstract, 6 pages of Claims (claims 1-18), 1 sheet of formal drawings, a Declaration and Power of Attorney, Form PTO 1595 and assignment of the invention to Storage Technology Corporation, Information Disclosure Statement, and Form PTO-1449, all filed on November 14, 2003;
- Copy of the Notice of Recordation; and
- Our return postcard.

No fees are believed to be required. However, in the event that any fees are required for the prosecution of this application, please charge the necessary fees to Storage Technology Corporation Deposit Account No. 19-4545. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Storage Technology Corporation Deposit Account No. 19-4545.

Respectfully submitted,



Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEY FOR APPLICANTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: **Underkofler et al.**

Serial No.: **10/714,173**

Filed: **November 14, 2003**

For: **Improved Røller Guide Tape Path**



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By: *Dell Whitton*
Dell Whitton

RESPONSE TO NOTICE UNDER 37 CFR 1.251 - PENDING APPLICATION

U.S. Patent and Trademark Office
Mail Stop: **Duplicates**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Notice Under 37 CFR 1.251 stating that "The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search: Power of Attorney (may include Associate POA) received by USPTO on 5/10/2004.". A copy of the Notice is attached.

Please note that the Power of Attorney was submitted with the original application filed on November 14, 2003, in the Declaration and Power of Attorney.

Attached hereto are the following documents:

- Copy of all original documents as filed on 11/14/03, including:
Transmittal;
Recordation;
Signed Assignment;
Signed Declaration and Power of Attorney;
Specification;
1 page of formal drawings;
Information Disclosure Statement; and
Form PTO 1449.
- Also attached are copies of documents received from the PTO; including
Notice of Recordation of Assignment Document dated 06/09/04.

No fees are believed to be necessary at this time. However, in the event that any fees are required for the prosecution of this application, please charge any necessary fees to Storage Technology Corporation Deposit Account No. 19-4545. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested. Please charge the fee for this extension to Storage Technology Corporation Deposit Account No. 19-4545.

Respectfully submitted,



Duke W. Yee

Registration No. 34,285

Yee & Associates, P.C.

P.O. Box 802333

Dallas, Texas 75380

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ATTORNEY FOR APPLICANTS

COMMISSIONER FOR PATENTS

Approved for use through 07/31/2006.OMB 0651-0031
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

In re Application of: Underfolker, *et al.*
Application No.: 10/714,173
Filing Date: November 14, 2003
Title: **IMPROVED ROLLER GUIDE TAPE PATH**

Direct to: U. S. Patent and Trademark Office
Mail Stop: Duplicates
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

NOTICE UNDER 37 CFR 1.251 – Pending Application

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above identified application.

August 11, 2005
Date

Duke W. Yee
Signature

Duke W. Yee
Typed or printed name

A Copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

PTO Doc Code: R251.RES (Rev. 03/05)

P.O. Box 1450, Alexandria, Virginia 22313-1450 – www.USPTO.GOV



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22313-1450
www.uspto.gov

2003051

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/714,173				2922

7590 05/06/2005

Timothy Schulte
Storage Technology Corporation
One StorageTek Drive
Louisville, CO 80028-4309

EXAMINER

ART UNIT PAPER NUMBER

2655

DATE MAILED: 05/06/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

NOTICE UNDER 37 CFR 1.251 - Pending Application

☐ The file on the cover sheet cannot be located after a reasonable search. Therefore, the Office is initiating the reconstruction of the file of the above-identified application pursuant to the provisions of 37 CFR 1.251.

Applicant is hereby requested to provide a copy of applicant's record (if any) of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), a list of such correspondence, and a statement that the copy is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and whether applicant is aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☒ The following paper(s) pertaining to the above-identified application cannot be located after a reasonable search:

Power of Attorney (may include Associate POA) received by USPTO on 5/10/2004.

Therefore, the Office is initiating the reconstruction of such paper(s) pursuant to the provisions of 37 CFR 1.251.

Applicant is hereby requested to provide a copy of the paper(s) listed above and a statement that the copy of such paper(s) is a complete and accurate copy of applicant's record of such paper(s).

If applicant does not possess any record of the correspondence between the Office and the applicant for the file listed on the cover sheet or any copy of the paper(s) listed, applicant must reply to this notice by providing a statement that applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

☐ A printout from PALM of the contents of the file of the above-identified application is included with this notice.

Direct the reply to this notice to:

U. S. Patent and Trademark Office
Mail Stop: **Duplicates**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Direct questions concerning this notice to:

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PTO Doc. Code: R251.NTC (Rev. 03/05)

Received in the U.S. Patent & Trademark Office

In Re Application of
Inventor: **Underkoffler et al.**
Title: **Improved Roller Guide Tape Path**

Enclosed:

1. Transmittal;
2. Response to Notice Under 37 CFR 1.251 - Pending Application;
3. Copy of Notice Under 37 CFR 1.251 - Pending Application;
4. Copy or originally filed Transmittal, 9 pages of Specification including an Abstract, 6 pages of Claims (claims 1-18), 1 sheet of formal drawings, a Declaration and Power of Attorney, Form PTO 1595 and assignment of the invention to Storage Technology Corporation, Information Disclosure Statement, and Form PTO-1449, all filed on November 14, 2003; and
5. Copy of the Notice of Recordation.

AUG 15 2005

Client: Storage Technology Corporation
Serial No. 10/714,173

Docket No. 2003-051-TAP

Date Mailed: 08/11/05

DWY/dw

Trademark Office

Received in the U.S. Patent & Trademark Office
In Re Application of
INVENTOR: Underkofler et al.
TITLE: Improved Roller Guide Tape Path

Enclosed:

1. Certificate of Mailing;
2. Transmittal Letter in duplicate;
3. Recordation in duplicate;
4. Assignment (1);
5. Declaration and Power of Attorney (1);
6. Patent Application (9 pgs. spec. & abstract, 6 pgs. claims 1-18);
7. 1 page of formal drawings;
8. Information Disclosure Statement;
9. Form PTO 1449; and
10. Two (2) return postcards.

Client: Storage Tek Corporation
Serial No. Not Assigned
Docket No. 2003-051-TAP
DWY/r/c

22141 U.S. PTO
10/714173



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Date Mailed: 11/14/2003